

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference P872 PCT	FOR FURTHER ACTION		of Transmittal of International mination Report (Form PCT IPEA 416)	
International application No	International filing date (day mont	li veari P	riority date (day month year)	
PCT US00 16895	19 June 2000 (19-06, 2000) 17 June 1999 (17-06, 1999)			
International Patent Classification (IPC)	or national classification and IPC			
IPC(7): A01N 1 02 and US CL: 435 1.1	. 1.2			
Applicant				
THE REGENTS OF UNIVERSITY OF	CALIFORNIA			
	nary examination report has been is transmitted to the applicant ac			
2. This REPORT consists of	a total of $\underline{\mathcal{L}}$ sheets, including t	nis cover sheet.		
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).				
These annexes consist of a	total of Q sheets.			
3. This report contains indica	ations relating to the following it	ems:		
I Basis of the rep	I Basis of the report			
II Priority				
III Non-establishme	ent of report with regard to nove	lty, inventive st	ep and industrial applicability	
IV Lack of unity of	finvention			
V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
VI Certain documents cited				
VII Certain defects	VII Certain defects in the international application			
VIII Certain observations on the international application				
Date of submission of the demand	Date o	f completion of	this report	
10 January 2001 (10.01 2001) 12 Pebruary 2002 (11.02.2002)		,		
Name and mailing address of the IPEA U Commissioner of Patents and Trademar Box PC1	K .	zed officer Saucier	Enedy war	
Washington, D.C. 2, 233 Facsimile No. (703)(305-3230 Telephone No. (703)(308-019			8-019	

Form PCT IPEA 409 (cover sheet)(July 1998)

INTERNATIONAL PRELIMINA KAMINATION REPORT

International application	tion No
PCT US00 168	

I.	Basis of the report
:	With regard to the elements of the international application.
	the international application as originally filed.
	the description:
	pages 1-57 as originally filed pages NONE , filed with the demand
	pages NONE , filed with the demand
	pages NONE , filed with the letter of
	the claims:
	pages <u>58</u> , as originally filed pages <u>NONE</u> , as amended (together with any statement) under Article 19
	pages NONE as amended (together with any statement) under Article 19
	pages NONE, filed with the letter of
	the drawings
	pages 1-5, as originally filed
	pages NONE , filed with the demand
	pages NONE , filed with the letter of
	the sequence listing part of the description:
	pages <u>NONE</u> , as originally filed
	pages NONE . filed with the demand
,	pages NONE, filed with the letter of With regard to the language, all the elements marked above were available or furnished to this Authority in the
<u>-</u>	language in which the international application was filed, unless otherwise indicated under this item.
	These elements were available or furnished to this Authority in the following language which is:
	the language of a translation furnished for the purposes of international search (under Rule23.1(b)).
	the language of publication of the international application (under Rule 48.3(b)).
	the language of the translation furnished for the purposes of international preliminary examination(under Rules
	55.2 and/or 55.3).
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:
	contained in the international application in printed form.
	filed together with the international application in computer readable form.
	furnished subsequently to this Authority in written form.
	furnished subsequently to this Authority in computer readable form.
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the
	international application as filed has been furnished.
	The statement that the information recorded in computer readable form is identical to the written sequence listing
	has been furnished
4.	The amendments have resulted in the cancellation of
	the description, pages none
	the claims, Nos. none
	the drawings, sheets fig none
5.	
-'	beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)) **
:h	Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are reterred to in is report as "originally filed" and are not annexed to this report since they do not contain amendments. (Rules 70.16 and 70.17)
	Any replacement sheet containing such amendments must be referred to under item I and annexed to this report

International application No PCT US00 168

V.	Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability;
	citations and explanations supporting such statement

citations and explanations supporting suc	ch statement	
1 STATEMENT		
Novelty (N)	Claims	1 YIS
		<u>2.3</u> NO
Inventive Step (IS)	Claims	NONE YES
·		1-3 NO
Industrial Applicability (IA)	Claims	1-3 YES
maaaaa qqaaaaa q		NONE NO
environment. Nakajima et al. disclose a composition comprising he	2) as being ant wn to a compose emoglobin and	icipated by Nakajima et al. osition further comprising and oxygen, nutritional and electrolyte polyoxyethylene in a solution with glucose and electrolytes (page
277. Materials and Methods and Table 1) which creat Claim 1 lacks an inventive step under PCT Article 3. al. (US 5,200,398).	- 0	, nutritional and electrolyte environment. Obvious over SEGEL ((US 5,374,624) in view of STRASBERG et
The claim is drawn to a composition for organ prese lidocaine HCL, MgSO4, KCl, CaCl2, THAM, NaCl		ising PEG-Hb, human albumin, dextrose, sodium heparin, ad Na3PO4.
US 5.374,624 discloses a composition comprising flu CaCl2, NaCl, NaHCO3 and KH2PO4. This compos solution are present in the solution of the reference.	uorochemicals sition lacks the	, albumin, dextrose, sodium heparin, lidocaine, MgCl2, KCl, einclusion of THAM and SO4 ion. All other ions of the claimed
		ocollins' solution have Mg2SO4 as the magnesium salt instead of O4 as a substitute for MgCl2 is considered to be the substitution of

Many crystalloid solutions used for organ preservation such as Eurocollins' solution have Mg2SO4 as the magnesium salt instead of MgCl2. As no criticality has been demonstrated, the use of Mg2SO4 as a substitute for MgCl2 is considered to be the substitution of equivalents and both have been used in preservative solutions as shown in US 5,200,398 (Table 1). With regard to the inclusion of THAM, this is a conventional biological buffer and its addition to a solution in order to stabilize pH cannot be considered to be inventive.

	NEW CITATIONS	
US 5,200,398 A	(STRASBERG et al.) 06 April 1993, see Table 1

International approprion No. PCT US00-16

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of Section I. Basis of the report, Item 5

The amendment of the description claim filed 25 June 2001 is objected to under PCT Article 34(20b) because it adds matter into the application that goes beyond the disclosure as originally filed. The added matter which is new is as follows. On 25 June 2001, amendments were submitted to claims disclosure figures under Article 19. However, Article 19 amendments are only made to the claims during the search phase. Thus, the amendments to the description and figures under Article 19 were improper. Also, as the demand was filed 10 January 2001 and the written opinion (408) was mailed 18 May 2001, the application was not in the search phase. Thus, the amendments to the claims under Article 19 was also improper and no amendments under Article 19 have been entered. Further, on 25 June 2001, an amendment to the description and figures was submitted under Article 34. However, it has not been entered because of new matter. For example, in the amended abstract, the perfusion solution comprises Na2HPO4 NaH2PO4, while in the original abstract, the perfusion solution comprises Na3PO4. Another example is the amended abstract states that the invention comprises polyethylene CONJUGATED hemoglobin. This is a change in both the scope of the invention and the substance of the statement of the invention as a conjugated chemical composition differs in structure from one which is a coated composition as coating does not require conjugation. Extensive amendments to the figures description under Article 34 have not been entered as they introduce new matter by changing the scope and substance of the disclosure.



International application No. PCT/US00/16895

V. Reasoned statement under Rule 66.2(a)(ii) citations and explanations supporting such		rd to novelty, inventive step or industrial applica	bility;
1. STATEMENT			
Novelty (N)	Claims	1	YES
		2.3	NO
Inventive Step (IS)	Claume	NONE	YES
inventive step (13)	Claims	NONE 1-3	NO
Industrial Applicability (IA)	Claims		YES
	Claims	NONE	NO
CITATIONS AND EXPLANATIONS Claim 2 lacks novelty under PCT Article 33(2) as being The claim is drawn to a solution for organ preservation			
US 5,814,601 discloses hemoglobin from bovine origin	is coated wi	th PEG (col. 11, lines 18 and 28).	
Claims 2 and 3 lack novelty under PCT Article 33(2)	as being ant	icipated by Nakajima et al.	
Claim 2 has been discussed above and claim 3 is drawn environment.	to a compo	osition further comprising and oxygen, nutritional and ele	ctrolyte
Nakajima et al. disclose a composition comprising hemoglobin and polyoxyethylene in a solution with glucose and electrolytes (page 277, Materials and Methods and Table 1) which creates an oxygen, nutritional and electrolyte environment.			
Claim 1 lacks an inventive step under PCT Article 33(3) as being obvious over SEGEL ((US 5,374,624) in view of STRASBERG et al. (US 5,200,398).			
The claim is drawn to a composition for organ preservation comprising PEG-Hb, human albumin, dextrose, sodium heparin, lidocaine HCL, MgSO4, KCl, CaCl2, THAM, NaCl, NaHCO3 and Na3PO4.			
US 5,374,624 discloses a composition comprising fluorochemicals, albumin, dextrose, sodium heparin, lidocaine, MgCl2, KCl, CaCl2, NaCl, NaHCO3 and KH2PO4. This composition lacks the inclusion of THAM and SO4 ion. All other ions of the claimed solution are present in the solution of the reference.			
Many crystalloid solutions used for organ preservation such as Eurocollins' solution have Mg2SO4 as the magnesium salt instead of MgCl2. As no criticality has been demonstrated, the use of Mg2SO4 as a substitute for MgCl2 is considered to be the substitution of equivalents and both have been used in preservative solutions as shown in US 5,200,398 (Table 1). With regard to the inclusion of THAM, this is a conventional biological buffer and its addition to a solution in order to stabilize pH cannot be considered to be inventive.			
US 5,200,398 A (STRASBERG et al.) 06 April 1993, see Table 1.			
Form DCT/IDE A (400 (Dov. V) (July, 1009)			

Form PCT/IPEA/409 (Box V) (July 1998)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/16895

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of Section I. Basis of the report, Item 5

The amendment of the description/claim filed 25 June 2001 is objected to under PCT Article 34(2)(b) because it adds matter into the application that goes beyond the disclosure as originally filed. The added matter which is new is as follows: On 25 June 2001, amendments were submitted to claims/disclosure/figures under Article 19. However, Article 19 amendments are only made to the claims during the search phase. Thus, the amendments to the description and figures under Article 19 were improper. Also, as the demand was filed 10 January 2001 and the written opinion (408) was mailed 18 May 2001, the application was not in the search phase. Thus, the amendments to the claims under Article 19 was also improper and no amendments under Article 19 have been entered. Further, on 25 June 2001, an amendment to the description and figures was submitted under Article 34. However, it has not been entered because of new matter. For example, in the amended abstract, the perfusion solution comprises Na2HPO4/NaH2PO4, while in the original abstract, the perfusion solution comprises Na3PO4. Another example is the amended abstract states that the invention comprises polyethylene CONJUGATED hemoglobin, while the original abstract states that the invention comprises polyethylene CONJUGATED hemoglobin. This is a change in both the scope of the invention and the substance of the statment of the invention as a conjugated chemical composition differs in structure from one which is a coated composition as coating does not require conjugation. Extensive amendments to the figures/description under Article 34 have not been entered as they introduce new matter by changing the scope and substance of the disclosure.



From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

DANIEL L. DAWES MYERS, DAWES & ANDRAS LLP 650 TOWN CENTER DRIVE SUITE 650 COSTA MESA: CA 92626

PCT

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing

0 6 MAR 2002

(day month year) Applicant's or agent's file reference IMPORTANT NOTIFICATION P872 PCT International filing date (day month year) Priority date (day month year) International application No. PCT US00 16895 19 June 2000 (19.06.2000) 17 June 1999 (17.06 1999) Applicant

THE REGENTS OF UNIVERSITY OF CALIFORNIA

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA US

Commissioner of Patents and Trademarks

R & PCT

Washington, D.C., 2, 231

Facsimile No. (703)305-3230 Form PCT IPEA 416 (July 1992)

Telephone No. (703) 308-019.

INTERNATIONAL SEARCH REPORT

International application No.

PS S00/16895

ì	SSIFICATION OF SUBJECT MATTER		
` '	:A01N 1/02 :435/1.1, 1.2		
According	to International Patent Classification (IPC) or to both	national classification and IPC	
B. FIEI	DS SEARCHED		
Minimum d	ocumentation searched (classification system followed	ed by classification symbols)	
U.S . :	435/1.1, 1.2		
Documenta	tion searched other than minimum documentation to th	e extent that such documents are included	in the fields searched
	data base consulted during the international search (ne, USPATFULL, Medline, Biosis	ame of data base and, where practicable	c, scarch terms used)
C. DOC	UMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.
X	US 5,814,601 A (WINSLOW et al.) 29	September 1998, col. 11 and	2
Y	12.		1,3
Y	US 5,374,624 A (SEGEL) 20 Decemb	per 1994, Table 1.	1-3
x	NAKAJIMA et al. Forty-eight hour artificial blood substitute. Asaio Transa No. 3, pages 277-279, especially Tabl	ctions. Jul-Sep 1988, Vol. 34,	2,3
Furth	er documents are listed in the continuation of Box C	See patent family annex.	
<u> </u>	enal estagones of cited documents	"T" later document published after the inte	rnational filing date or priority
A doo	be of perticular relevance	date and not in conflict with the appl the principle or theory underlying the	scation but cited to understand
	her document published on or after the international filing date	"X" document of particular relevance, the considered novel or cannot be consider	
CHA	rument which may throw doubts on priority claim(s) or which is id to establish the publication date of another citation of other icial reason (as specified)	when the document is taken alone "Y" document of particular relevance, the	
.0, qo	nument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other such being obvious to a person skilled in t	documents, such combination
P document published prior to the international filing date but later than *&* document member of the same patent family the priority date claimed			
Date of the actual completion of the international search 23 AUGUST 2000 Date of mailing of the international search report 20 SEP 2000			
Commission Box PCT	nailing address of the ISA/US ner of Patents and Trademarks	SANDRA SAUCIER	12may
_	L D.C. 2023)	 Telephone No. (703) 308-0196	9



From the INTERNATIONAL SEARCHING AUTHORITY

To: DANIEL L. DAWES MYERS, DAWES & ANDRAS LLP 650 TOWN CENTER DRIVE, SUITE 650	PCT		
COSTA MESA, CA 92626	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION		
	(PCT Rule 44.1)		
	Date of Mailing (day/month/year) 20 SER 2000		
Applicant's or agent's file reference	FOR FURTHER ACTION See paragraphs 1 and 4 below		
NONE			
International application No.	International filing date (day/month/year)		
PCT/US00/16895	19 JUNE 2000		
Applicant REGENTS OF THE UNIVERSITY OF CALIFORNIA			
1. X The applicant is hereby notified that the internationa	l search report has been established and is transmitted herewith.		
Filing of amendments and statement under Artic The applicant is entitled, if he so wishes, to amend	le 19: the claims of the international application (see Rule 46):		
When? The time limit for filing such amendm	ents is normally 2 months from the date of transmittal of the r more details, see the notes on the accompanying sheet.		
Where? Directly to the International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35			
For more detailed instructions, see the notes on the accompanying sheet.			
2. The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.			
3. With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:			
the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.			
no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.			
4. Further action(s): The applicant is reminded of the following	llowing:		
Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.			
Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).			
Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.			
Name and mailing address of the ISA/US Authorized officer			
Commissioner of Patents and Trademarks Box PCT SANDRA SAUCIER			
Washington, D.C. 20231			

Telephone No. (703) 308-0196



INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

A 1' - 4'					
Applicant's or agent's file reference NONE		Transmittal of International Search Report 0) as well as, where applicable, item 5 below.			
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)			
PCT/US00/16895	19 JUNE 2000	17 JUNE 1999			
Applicant REGENTS OF THE UNIVERSITY O	F CALIFORNIA				
according to Article 18. A copy is being	This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.				
This international search report consist	s of a total of X sheets.				
X It is also accompanied by a	copy of each prior art document cited in this	report.			
language in which it was filed the international search was Authority (Rule 23.1(b)).	the international search was carried out on the bas, unless otherwise indicated under this item. Is carried out on the basis of a translation of the analysis and/or amino acid sequence disclosed in the internation.	ne international application furnished to this			
was carried out on the basis o		•			
contained in the internation	al application in written form.				
	filed together with the international application in computer readable form.				
furnished subsequently to this Authority in written form.					
furnished subsequently to the	his Authority in computer readable form.				
international application as	the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. the statement that the information recorded in computer readable form is identical to the written sequence listing has been				
	l unsearchable (See Box I).				
3. Unity of invention is lacki					
4. With regard to the title,					
X the text is approved as subj	nitted by the applicant.				
the text has been establishe	d by this Authority to read as follows:				
5. With regard to the abstract,					
the text is approved as subi					
the text has been established Box III. The applicant may, search report, submit comm	d, according to Rule 38.2(b), by this Authority within one month from the date of mailing of ments to this Authority.	y as it appears in this international			
6. The figure of the drawings to be p	oublished with the abstract is Figure No.				
as suggested by the applica	nt.	X None of the figures.			
because the applicant failed	to suggest a figure.				
hecause this figure better of	haracterizes the invention				

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) :A01N 1/02 US CL :435/1.1, 1.2			
	to International Patent Classification (IPC) or to both	national classification and IPC	
B. FIEL	DS SEARCHED		
Minimum d	ocumentation searched (classification system followe	d by classification symbols)	
U.S. :	435/1.1, 1.2		
Documentat	tion searched other than minimum documentation to the	e extent that such documents are included	in the fields searched
Electronic d	data base consulted during the international search (n	ame of data base and, where practicable	e, search terms used)
Ca on line	e, USPATFULL, Medline, Biosis		
C. DOC	UMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.
X	US 5,814,601 A (WINSLOW et al.) 29	September 1998, col. 11 and	2
Y	12.		1,3
Y	US 5,374,624 A (SEGEL) 20 Decemb	per 1994, Table 1.	1-3
X	NAKAJIMA et al. Forty-eight hour artificial blood substitute. Asaio Transa No. 3, pages 277-279, especially Tabl	ctions. Jul-Sep 1988, Vol. 34,	2,3
Furth	ner documents are listed in the continuation of Box C	C. See patent family annex.	
• Spe	ecial categories of cited documents	"T" later document published after the inte date and not in conflict with the appl	
	cument defining the general state of the art which is not considered be of particular relevance	the principle or theory underlying the	
"E" ear	lier document published on or after the international filing date	"X" document of particular relevance, the considered novel or cannot be consider	
cité	cument which may throw doubts on priority claim(s) or which is ed to establish the publication date of another citation or other ectal reason (as specified)	when the document is taken alone "Y" document of particular relevance, the	
	cument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other such being obvious to a person skilled in the	documents, such combination
	P document published prior to the international filing date but later than -&- document member of the same patent family the priority date claimed		
Date of the actual completion of the international search Date of mailing of the international search report		arch report	
23 AUGU	23 AUGUST 2000 2 0 5 1. 10 10 10 10 10 10 10 10 10 10 10 10 10		
	nailing address of the ISA/US ner of Patents and Trademarks	Authorized officer \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	- Bully
Box PCT			1
_	(n. (703) 305-3230	Telephone No. (703) 308-0196	4

We claim:

1	 A composition for donor organ preservation for transplantation
2	comprising an ischemic hypothermic immersion saline solution including PEG-Hb,
3	human albumin, dextrose, heparin sodium, lidocaine HCI, MgSO ₄ , KCI, CaCI ₂ ,
4	THAM, NaCl, NaHCO3, and Na₃P0₄.

- 2. A composition for donor organ preservation for transplantation comprising a polyethylene glycol coated bovine hemoglobin based solution for the purpose of ex vivo donor organ preservation to preserve donor human and animal organs, *ex vivo*, prior to transplantation.
- 1 3. A composition for donor organ preservation for transplantation comprising an oxygen, nutritional and electrolyte environment to tissue of the donor organ to provide *ex vivo* preservation such that the donor organ will regain acceptable function post transplantation.